



#15

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Elley et al. ) Group Art Unit: 2131  
Serial No.: 09/310,165 ) Examiner: Revak, Christopher A.  
Filed: May 10, 1999 ) Attorney Docket No.: SMY-013.01  
For: *Method and System for Proving* ) (25087-1301)  
*Membership in a Nested Group* )  
*Using Chains of Credentials* )

RECEIVED

APR 07 2004

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56 and 1.97

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their Attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents (References BF-BG) are also being submitted. Applicants respectfully request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached Form PTO-1449.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration co-pending U.S. patent applications that are owned at least in part by the assignee of this application and that describe subject matter related to the present application. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications, the Examiner should contact the Attorney of record.

04/01/2004 FMETEK11 00000026 09310165

01 FC:1806

180.00 OP

Our Docket #	Serial #	Date Filed	Title
SMY-005.01	09/307,953	May 10, 1999	Method and System for Presentation of Non-Revocation Certificates
SMY-012.01	09/309,045	May 10, 1999	Method and System for Dynamic Issuance of Group Certificates
SMY-016.01	09/596,914	June 20, 2000	Method and System for use of Wild Card Names in Access Control Policies and Group Membership Lists
SMY-017.01	09/547,183	April 11, 2000	Method and System for Pro-Active Credential Refreshing

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the claimed subject matter over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97(c)(2), the fee as set forth in 37 C.F.R. § 1.17(p) is enclosed. If this fee is insufficient or if extensions of time are necessary, the Commissioner is authorized to charge any fee deficiency or credit overpayment to our **Deposit Account No. 06-1448, Ref. SMY-013.01.**

Respectfully Submitted,

Date:

3/29/2004

**Customer No: 25181**  
Patent Group  
Foley Hoag LLP  
155 Seaport Blvd.  
Boston, MA 02110  
Tel. (617) 832-1000  
Fax (617) 832-7000

Jeffrey M. Sears  
Reg. No. 48,440